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PATENT

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DIVISION

SWAP/03  
Confirmation No. 7478

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I hereby certify that this correspondence and the enclosures noted herein (3 total pages) are being transmitted via facsimile transmission to Mail Stop 16, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-305-8007 on October 18, 2004.

*Judith L Volk*  
Judith L. Volk

*October 18, 2004*  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ron Joseph

Serial No.: 09/775,002

Filed: February 1, 2001

For:

SYSTEM AND METHOD FOR FACILITATING TRANSFER OF VEHICLE  
LEASES

Art Unit: 3624

Examiner: Geoffrey R. Akers

Atty. Docket No.: SWAP/03

**REQUEST FOR REFUND**

Mail Stop 16

Director of the US Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This paper is for the purpose of requesting a refund of \$475.00 for the inappropriate charges to Applicant's representative's Deposit Account 23-3000 on September 28, 2004 for a three-month extension of time fee levied in connection with Applicant's filing of a Request for Continued Examination (RCE). This request is based upon the following:

Applicant timely filed a Notice of Appeal on February 23, 2004 with a Request for a Two-month Extension of Time, along with authorization to charge Deposit Account 23-3000 the requisite fee of \$210.00. Applicant timely mailed his Appeal Brief on April 21, 2004, and a check of the United States Patent and Trademark Office's private PAIR website indicates that the

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Appeal Brief was filed on April 26, 2004. As such, as of April 26, 2004, Applicant was under no prescribed time period for response.

On September 17, 2004, Applicant's representative conducted a telephonic interview with Examiner Geoffrey Akers, which resulted in the the filing of a Request for Continued Examination (RCE) and Information Disclosure Statement on that same day, September 17, 2004.

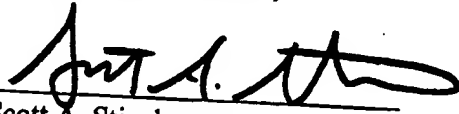
Applicant asserts that, since the filing of the RCE was not responsive to any communication for which a time period was set, no extension of time fees should be due. Applicant therefore respectfully requests a refund of the \$475.00 charged by the Office for three-month extension of time fees. The refund may be made either via check made payable to Applicant's representatives, or via a credit to Deposit Account 23-3000, whichever is most convenient for the Office.

If there are any questions regarding this paper, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

18 OCT 2004

Date

  
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PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATIONEDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1936-1971JOSEPH R. JORDAN  
C. RICHARD EBY  
DAVID E. PRITCHARDJ. DWIGHT POFFENBERGER, JR.  
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JOHN D. POFFENBERGER  
THOMAS W. FLYNN

October 18, 2004

**FACSIMILE COVER SHEET**To: Mail Stop 16  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22213-1450

Fax: 703-305-8007

From: Scott A. Stinebruner

Re: U.S. Patent Application  
Serial No. 09/775,002  
Filed: February 1, 2001  
Applicant: Ron Joseph  
Art Unit: 3624  
Confirmation No.: 7478  
Our Ref: SWAP/03**Enclosures:**Fax Cover Sheet containing Certificate of  
Facsimile Transmission (1 page)  
Request for Refund containing Certificate of  
Facsimile Transmission (2 pages)

Pages: 3 (including cover sheet)

**MESSAGE/COMMENTS  
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*Judith L. Volk*  
Judith L. Volk*October 18, 2004*  
Date**BEST AVAILABLE COPY**

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PATENT.

SWAP/03

Confirmation No. 7478

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ron Joseph

Art Unit: 3624

Serial No.: 09/775,002

Examiner: Geoffrey R. Akers

Filed: February 1, 2001

Atty. Docket No.: SWAP/03

For: SYSTEM AND METHOD FOR FACILITATING TRANSFER OF VEHICLE LEASES

FEE CALCULATION

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

1. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	44	minus	41	3	\$9	\$27.00
Independent Claims	9	minus	6	3	\$43	\$129.00
MULTIPLE DEPENDENT CLAIM FEE					\$145	\$0.00
TOTAL FEE FOR CLAIMS:						\$156.00

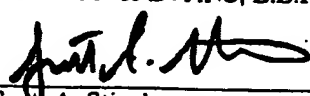
- ☐ No additional fee for claims is required.
2. ☐ Attached is a check in the sum of \$\_\_\_\_\_ for additional claims.  
☒ Please charge my Deposit Account No. 23-3000 in the amount of \$ 156.00.
3. ☐ Attached is a check in the amount of \$\_\_\_\_\_ for Extension of Time Fee under 37 C.F.R. §§ 1.136 and 1.17 for a \_\_\_\_\_ month extension of time.  
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_.
4. ☒ Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000. A duplicate of this sheet is enclosed.

Adjustment date: 12/03/2004 SDIRETAL  
 09/28/2004 HJONES1 00000001 233000 09775002  
 01 FC:2253 475.00 CR

Respectfully submitted,

WOOD, HERRON &amp; EVANS, L.L.P.

By:

  
 Scott A. Stinebruner  
 Reg. No. 38,323

Date: 17 SEP 2004

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Page 1 of 1  
 Serial No. 09/775,002  
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 Fee Calculation  
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